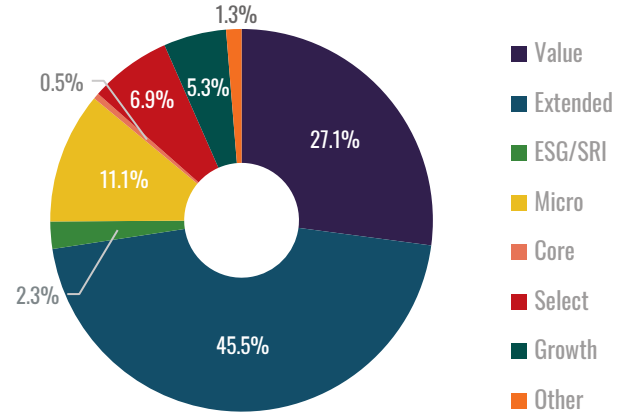
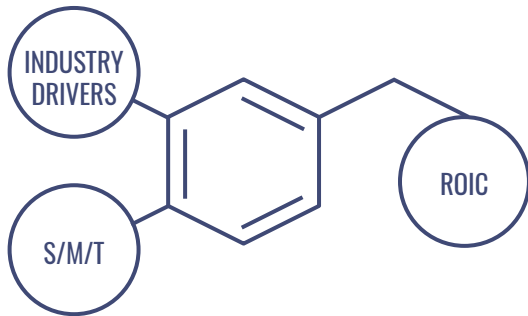


## AUM by Investment Style



## Fundamental Tools

We seek to understand **fundamental drivers** of the business.



- Sales Growth, Operating Margins, Asset Turnover
- Industry-Specific Drivers and Indicators
- Return On Invested Capital

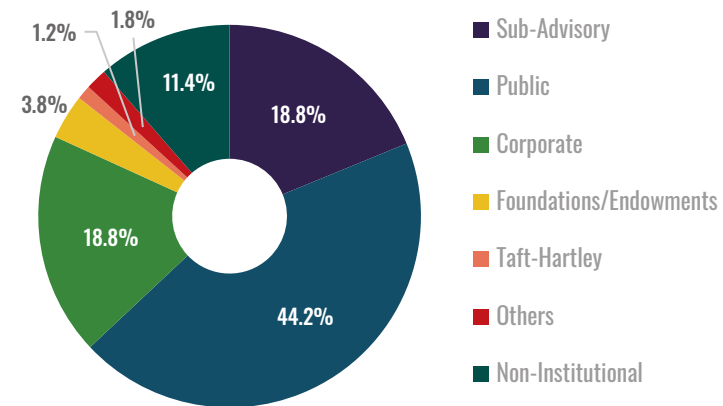
## Corporate Highlights

- Micro, Small and Mid-cap equity specialists
- Intense, bottom-up fundamental research
- Active, long-only security selection
- Centralized research team of industry experts
- Founded by former chemical engineers
- Majority of investment professionals are KCM shareholders

Signatory of:



## AUM by Client Type



# Investment Strategies

10829 Olive Boulevard, St. Louis, MO 63141

1 (800) 859-5462

[www.kennedycapital.com](http://www.kennedycapital.com)

## VALUE

### Extended Small Cap

Inception: 4/1/1994

AUM: \$2,004 Million

**Michael Bertz, Ph.D., P.E., CFA®**

Portfolio Manager

**Sean McMahon**

Assistant Portfolio Manager

**Robert Van Bergen, CFA®**

Assistant Portfolio Manager

### Small Cap Value

Inception: 1/1/1983

AUM: \$892 Million

**Frank Latuda, Jr., CFA®**

Portfolio Manager

**McAfee Burke, CFA®**

Portfolio Manager

### SMID Cap Value

Inception: 3/1/2010

**Frank Latuda, Jr., CFA®**

Portfolio Manager

**McAfee Burke, CFA®**

Portfolio Manager

**Gary Kauppila, CFA®**

Assistant Portfolio Manager

### Mid Cap Value

Inception: 1/1/2002

AUM: \$292 Million

**Gary Kauppila, CFA®**

Portfolio Manager

**Frank Latuda, Jr., CFA®**

Portfolio Manager

### All Cap Value

Inception: 1/1/2009

AUM: \$8 Million

**Frank Latuda, Jr., CFA®**

Portfolio Manager

**Thomas Lertiz, CFA®**

Assistant Portfolio Manager

## CORE

### Micro Cap

Inception: 6/1/2004

AUM: \$487 Million

**Christian McDonald, CFA®**

Portfolio Manager

**Sean McMahon**

Portfolio Manager

### Small Cap Core

Inception:

1/1/1995

AUM: \$22 Million

**Donald Cobin, CFA®**

Portfolio Manager

### Small Cap Select

Inception:  
1/1/1997

AUM: \$315 Million

**Alex Mosman, CFA®**

Portfolio Manager

### Small Cap Select SRI

Inception:  
9/1/2003

### ESG SMID Cap

Inception: 1/1/2017

AUM: \$90 Million

**Christian McDonald, CFA®**

Portfolio Manager

## GROWTH

### Small Cap Growth

Inception: 1/1/2008

AUM: \$234 Million

**Jean Barnard, CFA®**

Portfolio Manager

**Ryan Dunnegan, CPA**

Portfolio Manager

### SMID Cap Growth

Inception: 4/1/2010

## Our Centralized Research Platform

- Led by a Director of Research
- Over a dozen sector-specific Research Analysts
- Analysts support all strategies, broadening perspective
- Analyst compensation tied directly to client's portfolios

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DISCIPLINE

CONSISTENCY

RESULTS